

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

RECEIVED & FILED
2019 NOV 12 PM 3:47
CLERK'S OFFICE
U.S. DISTRICT COURT
SAN JUAN, P.R.

----- X
In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.¹
----- X

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:
:
: PROMESA
: Title III
:
: Case No. 17-BK-3283 (LTS)
:
: (Jointly Administered)
:
:

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO,

Debtor.
----- X

:
:
:
: PROMESA
: Title III
:
: Case No. 17-BK-3566 (LTS)
:
:

**NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS**

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Gary Brulez + Pamela Brulez
Participant Name

Contact Person (if Participant is not an individual)

gary@cpamo.com
Email Address

20822 W 92nd St
Address line 1

Address line 2

hempstead KS 66220-3443
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

 intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); *or*

X intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Mary Brulez
Signature

Pamela Brulez

Gery Brulez
Print Name

Pamela Brulez

Title (if Participant is not an Individual)

10.31.19
Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



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District Court for the District
of Puerto Rico, Rm 150 Federal Bldg
150 Carlos Chardon Avenue
San Juan, PR 00918-1767

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Kathryn R Thompson Rev Tr
Participant Name

Rick L Thompson JTEE
Contact Person (if Participant is not an individual)

rthompson@tbswells.com
Email Address

PO Box 1572
Address line 1

Coffeerville, KS 67337
Address line 2
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

_____ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

X intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

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- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Signature

Print Name

Title (if Participant is not an Individual)

Date

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MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.¹

X

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X

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO,

Debtor.

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X

**NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS**

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Counsel Contact Information (if any)

John E Dearmond
Participant Name

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

Contact Person

enigma6722c@ch.com
Email Address

Email Address

2407 Hood Ave
Address line 1

Address line 1

Address line 2

Address line 2

Wichita, KS 67204
City, State Zip Code

City, State Zip Code

USA
Country

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

X_____
intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

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(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Signature

Print Name

Title (if Participant is not an Individual)

Date

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as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.¹

X

:

:

:

: PROMESA

: Title III

:

: Case No. 17-BK-3283 (LTS)

:

: (Jointly Administered)

:

:

X

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO,

Debtor.

:

:

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: PROMESA

: Title III

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: Case No. 17-BK-3566 (LTS)

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:

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:

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X

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1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Joe A Bratcher
Participant Name

Contact Person (if Participant is not an individual)

Email Address

5207 Memory Lane
Address line 1

Address line 2

Wichita, KS 67212
City, State Zip Code

USA

Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

 intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

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(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MAC 4

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Sue A. Bratcher
Signature

Sue A Bratcher
Print Name

Title (if Participant is not an Individual)

11/6/19
Date

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:
as representative of : Case No. 17-BK-3283 (LTS)
:
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
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Debtors.¹ :
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In re: :
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as representative of : Case No. 17-BK-3566 (LTS)
:
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
:
Debtor. :
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Participant Name and Contact Information

Counsel Contact Information (if any)

Allison Jean Gardner
Participant Name

Firm Name (if applicable)

Kristy AGould POA
Contact Person (if Participant is not an individual)

Contact Person

Kgmama3@yahoo.com
Email Address

Email Address

9226 Dudley Way
Address line 1

Address line 1

Address line 2

Address line 2

Westminster, CO 80021
City, State Zip Code

City, State Zip Code

USA
Country

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

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29216MAC4

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Kristy A Gould as POA for Allison Jean Gardner
Signature

Kristy A Gould POA for Allison Jean Gardner
Print Name

Title (if Participant is not an Individual)

10-31-19
Date

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DURABLE POWER OF ATTORNEY

NOTICE: UNLESS YOU LIMIT THE POWER IN THIS DOCUMENT, THIS DOCUMENT GIVES YOUR AGENT THE POWER TO ACT FOR YOU, WITHOUT YOUR CONSENT, IN ANY WAY THAT YOU COULD ACT FOR YOURSELF. THE POWERS GRANTED BY THIS DOCUMENT ARE BROAD AND SWEEPING. THEY ARE EXPLAINED IN THE "UNIFORM STATUTORY FORM POWER OF ATTORNEY ACT", PART 13 OF ARTICLE 1 OF TITLE 15, COLORADO REVISED STATUTES, AND PART 6 OF ARTICLE 1 OF TITLE 15, COLORADO REVISED STATUTES. THIS DOCUMENT DOES NOT AUTHORIZE ANYONE TO MAKE MEDICAL OR OTHER HEALTH-CARE DECISIONS FOR YOU. YOU MAY REVOKE THIS POWER OF ATTORNEY IF YOU LATER WISH TO DO SO.

THE PURPOSE OF THIS POWER OF ATTORNEY IS TO GIVE THE PERSON YOU DESIGNATE (YOUR "AGENT") BROAD POWERS TO HANDLE YOUR PROPERTY AND AFFAIRS, WHICH MAY INCLUDE POWERS TO PLEDGE, SELL, OR OTHERWISE DISPOSE OF ANY REAL OR PERSONAL PROPERTY WITHOUT ADVANCE NOTICE TO YOU OR APPROVAL BY YOU. THIS FORM DOES NOT IMPOSE A DUTY ON YOUR AGENT TO EXERCISE GRANTED POWERS; BUT WHEN POWERS ARE EXERCISED, YOUR AGENT MUST USE DUE CARE TO ACT FOR YOUR BENEFIT AND IN ACCORDANCE WITH THE PROVISIONS OF THIS FORM AND MUST KEEP A RECORD OF RECEIPTS, DISBURSEMENTS, AND SIGNIFICANT ACTIONS TAKEN AS AGENT. YOU MAY NAME SUCCESSOR AGENTS UNDER THIS FORM, INCLUDING CO-AGENTS. UNTIL YOU REVOKE THIS POWER OF ATTORNEY OR A COURT ACTING ON YOUR BEHALF TERMINATES IT, YOUR AGENT MAY EXERCISE THE POWERS GIVEN HERE THROUGHOUT YOUR LIFETIME, EVEN AFTER YOU MAY BECOME DISABLED, UNLESS YOU EXPRESSLY LIMIT THE DURATION OF THIS POWER IN THE MANNER PROVIDED BELOW.

YOU MAY HAVE OTHER RIGHTS OR POWERS UNDER COLORADO LAW NOT SPECIFIED IN THIS FORM.

This power of attorney will continue to be effective even though I become disabled, incapacitated, or incompetent. Revoking all prior Durable Powers of Attorney that may have been previously executed, I, Allison Jean Gardner, residing at 6678 Scenic Ct., Firestone, CO 80504, and being of sound mind and at least eighteen years of age, do hereby revoke and cancel all prior powers of attorney made, given, or executed by me, and do hereby name, appoint, and grant power of attorney to Kristy Anne Gould of 9226 Dudley Way, Westminster, CO 80021 to act as successor agent on my behalf in all of the matters described below. The named Agent(s) shall have power to act as my lawful and authorized Agent(s), for me and to act in my name, place, and stead and I do hereby expressly grant to my said Agent(s) the following powers and authorities, which specifically define, modify, expand, and/or limit the powers of a fiduciary under CRS §15-1-803 and CRS §18-1-804, as permitted under the law of the State of Colorado:

1. To create, amend, revoke, or terminate a revocable or irrevocable trust for my benefit or a trust that will allow me to receive any and all type(s) of governmental benefits that may be available to me once a trust has been put in place.
2. To make additions to an existing trust for my benefit.
3. To change or create any right of survivorship I may have the ability to create or change.
4. To claim an elective share of the estate of my deceased spouse.
5. To disclaim any interest in any property, real, personal, or otherwise.
6. To renounce any fiduciary positions.
7. To withdraw and receive the income or corpus of any trust of which I am the beneficiary or from which I am otherwise entitled to receive income or corpus.
8. To receive and review all medical records and other information necessary to evaluate, negotiate, pay, or resolve any issues relating to the cost of my medical care or treatment.
9. To engage in real property transactions, which shall include, but not be limited to, the authority to buy, sell, lease, encumber, or otherwise deal with any interests in real property.
10. To engage in transactions involving tangible personal property, including, but not limited to, the authority to buy, sell, lease, encumber, or otherwise deal with such assets.
11. To engage in transactions in stocks, bonds, and other securities.
12. To engage in banking and financial transactions, including the right to write checks, issue drafts, borrow money, make deposits into or withdrawals from accounts within financial institutions, purchase, sell, or otherwise deal with certificates of deposit and similar assets, and to otherwise generally deal with banks and financial institutions on my behalf.
13. To borrow money and to pledge or encumber my assets to secure such loans.
14. To enter safe deposit boxes and to withdraw items from or place items into safe deposit boxes.
15. To engage in all transactions involving insurance, including, but not limited to, homeowners, motor vehicle, general liability, life, medical, and all other forms and types of insurance.
16. To engage in transactions involving retirement plans, pensions, and similar retirement benefits.
17. To change the beneficiary designation on any plan where a beneficiary has been designated.
18. To waive my right to be a beneficiary to any plan or amount to which I may be entitled.
19. To handle interests in estates and trusts.
20. To disclaim any interest I may have in real, personal, or financial property that comes to me.
21. To pursue claims in litigation, including, if appropriate, hiring attorneys and other professionals to evaluate, advise, and pursue such claims.
22. To receive government benefits and exchange all communications regarding government benefits.

23. To pursue tax matters and engage in transactions involving tax matters, including, but not limited to, the preparation and filing of tax returns, the payment of taxes, the filing of requests for refunds, representation of my interests during tax audits and negotiations with taxing authorities, and the like,
24. To make an anatomical gift of all or part of my body,
25. To make gifts of my property or on my behalf, but only as follows:
 - a. Gifts to my spouse, my issue, and/or the spouse of my issue in an amount, or involving assets, which may (or may not) exceed the annual exclusion from the federal gift tax permitted under the Internal Revenue Code, as such code and provisions may be amended from time to time hereafter; or
 - b. A gift to any permitted donee; for tuition or medical care, to the extent that such gift is excluded from the federal gift tax under Section 2503(c) of the Internal Revenue Code of 1986, and any amendments or modification thereof, as a qualified transferor; or
 - c. My said Agent may consent, pursuant to Section 2513(a) of the Internal Revenue Code of 1986 to the splitting of gifts made by my spouse to my issue or to the spouse of my issue in any amount and may also consent to the splitting of gifts made by my spouse to any other person in amounts not exceeding the aggregate annual gift exclusions for myself and my spouse under Section 2503(b) of the Internal Revenue Code of 1986, or any amendment thereof.

THIS POWER OF ATTORNEY IS EFFECTIVE IMMEDIATELY AND WILL CONTINUE UNTIL IT IS REVOKED OR TERMINATED.

My agent is entitled to reasonable compensation for services rendered as agent under this power of attorney.

All third parties shall rely on the provision of this Durable Power of Attorney as accurate and enforceable by law. Any third party not conforming and not acting in accordance with the provisions of this Durable Power of Attorney may be held accountable by my Agent(s) for damages, if appropriate.

My Agent(s) shall be entitled to reimbursement for all reasonable expenses arising from the performance of acts and duties related to my medical treatment and personal care pursuant to this document.

Photocopies of this document shall be as effective and enforceable as originals, and third parties shall be entitled to rely on photocopies for the full force and effect of all stated terms.

This document shall be enforceable in all jurisdictions, regardless of conformity to that jurisdiction's technical requirements and legal formalities.

THIS POWER OF ATTORNEY MAY BE AMENDED OR REVOKED BY YOU AT ANY TIME, IN WRITING. ABSENT AMENDMENT OR REVOCATION, THE

AUTHORITY GRANTED IN THIS POWER OF ATTORNEY IS EFFECTIVE WHEN THIS POWER OF ATTORNEY IS SIGNED AND CONTINUES IN EFFECT UNTIL YOUR DEATH.

I agree that any third party who receives a copy of this document may act under it. Revocation of the power of attorney is not effective as to a third party until the third party learns of the revocation. I agree to indemnify the third party for any claims that arise against the third party because of reliance on this power of attorney.

I execute this declaration, as my free and voluntary act, this 12th day of November, 2013.

By Allison Jean Gardner
Allison Jean Gardner

THE STATE OF COLORADO
COUNTY OF BROOMFIELD

Subscribed to and acknowledged before me by Allison Jean Gardner this 12th day of November, 2013.

My commission expires: 7/28/2015

(SEAL)

(SIGNED)

Michael Bailey
Notary Public





Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400
Wichita, KS 67206-4213

First Class Mail
First Class Mail

Hasler

FIRST-CLASS MAIL

11/06/2019

US POSTAGE \$001.30⁰



ZIP 67206
011D11650520

The Clerk of the United States
District Court for the District
of Puerto Rico, Rm 150 Federal Bldg
150 Carlos Chardon Avenue
San Juan, PR 00918-1767

RECEIVED & FILED
2019 NOV 12 PM 3:48
CLERK'S OFFICE
U.S. DISTRICT COURT
SAN JUAN, P.R.

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3566 (LTS)
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
Debtor. :
----- X

**NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS**

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

RECEIVED & FILED
2019 NOV 12 PM 2:35
CLERK'S OFFICE
U.S. DISTRICT COURT
SAN JUAN, P.R.

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Lohr H. Gonzalez
Participant Name
Sarah T. Spoleti (daughter)
Contact Person (if Participant is not an individual)
SARAH SPOLETI@SBCGLOBAL-NET
Email Address
6600 CUESTA TRL
Address line 1

Address line 2
AUSTIN, TX 78730
City, State Zip Code
USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

 intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

X intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

CUSIP 29216MAF7
CUSIP 29216MAC4
CUSIP 29216MAK6

COST BASIS: \$135,000
COST BASIS: \$75,000
COST BASIS: \$20,000

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Sarah T. Spoleti
Signature
Sarah T. Spoleti (executor)
Print Name

Title (if Participant is not an Individual)

Nov. 4, 2019
Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.



Ms. Sarah T. Spoleti
6600 Cuesta Trl
Austin, TX 78730-2329

AUSTIN
TX 787
05 NOV '19
PM 4 L



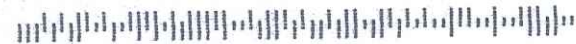
FOREVER



THE CLERK OF THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO
ROOM 150 FEDERAL BUILDING
150 CARLOS CHARDON AVENUE
SAN JUAN, PR 00918-1767

RECEIVED & FILED

00918-17675



THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

----- X
In re: :
THE FINANCIAL OVERSIGHT AND :
MANAGEMENT BOARD FOR PUERTO RICO, : PROMESA
: Title III
: as representative of : Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

In re: :
THE FINANCIAL OVERSIGHT AND :
MANAGEMENT BOARD FOR PUERTO RICO, : PROMESA
: Title III
: as representative of : Case No. 17-BK-3566 (LTS)
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
Debtor. :
----- X

**NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS**

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Vicki L. Wheeler
Participant Name

Contact Person (if Participant is not an individual)

wheels135@comcast.net
Email Address

6566 South Tyko Court
Address line 1

Address line 2

Peru, IN 46970-8789
City, State Zip Code

USA
Country

**Broker
Counsel Contact Information (if any)**

LaSalle St. Securities
Firm Name (if applicable)

Walter J. Goldthwaite
Contact Person

jayg@lasallest.com
Email Address

940 N. Industrial Drive
Address line 1

Address line 2

Elmhurst, IL 60126-1131
City, State Zip Code

USA
Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

 intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

 X intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MBP4
29216 MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Vicki L. Wheeler
Signature

Vicki L. Wheeler
Print Name

Title (if Participant is not an Individual)

3 Nov 2019
Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

10/11/19

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131

A BANKRUPTCY

SECURITY DESCRIPTION: EMPLOYEES RET SYS GOVT C/W PUE

CUSIP#: 29216MBP4
ACCOUNT#: 2840
QUANTITY: 10,000

*****AUTO**MIXED ADC 117
NFS/FMTC ROTH IRA
FBO VICKI L WHEELER
6566 S TYKO CT
PERU IN 46970

Dear Client,

We have been requested to forward you the enclosed material. To participate you must complete the notice of participation and return to The Clerk of the United States District Court for the district of Puerto Rico at the return address provided in the materials.

If you have any questions, please contact your financial advisor.

JOB NUMBER: E15810 808

CONTROL#: 6925314947319772

000003104

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131



DO NOT MAIL



*****AUTO**MIXED ADC 117
NFS/FMTC ROTH IRA
FBO VICKI L WHEELER
6566 S TYKO CT
PERU IN 46970

10/11/19

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131

A BANKRUPTCY

SECURITY DESCRIPTION: EMPLOYEES RET SYS GOVT C/W PUE

CUSIP#: 29216MAC4
ACCOUNT#: 2840
QUANTITY: 20,000

*****AUTO**MIXED ADC 117
NFS/FMTC ROTH IRA
FBO VICKI L WHEELER
6566 S TYKO CT
PERU IN 46970

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JOB NUMBER: E15810 808

CONTROL#: 9462818915546255

000003098

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131



DO NOT MAIL



*****AUTO**MIXED ADC 117
NFS/FMTC ROTH IRA
FBO VICKI L WHEELER
6566 S TYKO CT
PERU IN 46970

Vicki L. Wheeler
6566 South Tyko Court
Peru, IN 46970-8789



7018 2290 0001 2908 6476



1000



00918

U.S. POSTAGE PAID
FCM LETTER
KOKOMO, IN
46902
NOV 05, 19
AMOUNT
\$7.00
R2305M146578-15

The Clerk of the United States District Court for the District of Puerto Rico
Room 150 Federal Building
150 Carlos Chardon Avenue
San Juan, PR 00918-1767

RECEIVED & FILED
2019 NOV 12 PM 2:35
CLERK'S OFFICE
U.S. DISTRICT COURT
SAN JUAN, P.R.

RETURN RECEIPT
REQUESTED

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3566 (LTS)
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
Debtor. :
----- X

**NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS**

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1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Larry L. Wheeler
Participant Name

Contact Person (if Participant is not an individual)

wheels135@comcast.net
Email Address

6566 South Tyko Court
Address line 1

Address line 2

Peru, IN 46970-8789
City, State Zip Code

USA
Country

**Broker
Counsel Contact Information (if any)**

LaSalle St. Securities
Firm Name (if applicable)

Walter J. Goldthwaite
Contact Person

jayg@lasallest.com
Email Address

940 N. Industrial Drive
Address line 1

Address line 2

Elmhurst, IL 60126-1131
City, State Zip Code

USA
Country

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- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4 29216MAM2 29216MBP4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Larry L. Wheeler
Signature
Larry L. Wheeler
Print Name

Title (if Participant is not an Individual)

3 Nov 2019
Date

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10/11/19

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131

A BANKRUPTCY

SECURITY DESCRIPTION: EMPLOYEES RET SYS GOVT C/W PUE

CUSIP#: 29216MAC4

ACCOUNT#: 2785

QUANTITY: 50,000

*****AUTO**MIXED ADC 117

LARRY L WHEELER

6566 S TYKO CT

PERU

IN 46970

Dear Client,

We have been requested to forward you the enclosed material. To participate you must complete the notice of participation and return to The Clerk of the United States District Court for the district of Puerto Rico at the return address provided in the materials.

If you have any questions, please contact your financial advisor.

JOB NUMBER: E15810 808

CONTROL#: 4584688872657214

000003099

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131



DO NOT MAIL



*****AUTO**MIXED ADC 117

LARRY L WHEELER

6566 S TYKO CT

PERU

IN 46970

10/11/19

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131

A BANKRUPTCY

SECURITY DESCRIPTION: EMPLOYEES RET SYS GOVT C/W PUE

CUSIP#: 29216MAM2

ACCOUNT#: 2793

QUANTITY: 30,000

*****AUTO**MIXED ADC 117
NFS/FMTC ROLLOVER IRA
FBO LARRY L WHEELER
6566 S TYKO CT
PERU IN 46970

Dear Client,

We have been requested to forward you the enclosed material. To participate you must complete the notice of participation and return to The Clerk of the United States District Court for the district of Puerto Rico at the return address provided in the materials.

If you have any questions, please contact your financial advisor.

JOB NUMBER: E15810 808

CONTROL#: 3464992302027145

000003101

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131



DO NOT MAIL



*****AUTO**MIXED ADC 117
NFS/FMTC ROLLOVER IRA
FBO LARRY L WHEELER
6566 S TYKO CT
PERU IN 46970

10/11/19

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131

A BANKRUPTCY

SECURITY DESCRIPTION: EMPLOYEES RET SYS GOVT C/W PUE

CUSIP#: 29216MAC4
ACCOUNT#: 2793
QUANTITY: 10,000

*****AUTO**MIXED ADC 117
NFS/FMTC ROLLOVER IRA
FBO LARRY L WHEELER
6566 S TYKO CT
PERU IN 46970

Dear Client,

We have been requested to forward you the enclosed material. To participate you must complete the notice of participation and return to The Clerk of the United States District Court for the district of Puerto Rico at the return address provided in the materials.

If you have any questions, please contact your financial advisor.

JOB NUMBER: E15810 808

CONTROL#: 8512468743985299

000003100

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131



DO NOT MAIL



*****AUTO**MIXED ADC 117
NFS/FMTC ROLLOVER IRA
FBO LARRY L WHEELER
6566 S TYKO CT
PERU IN 46970

10/11/19

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131

A BANKRUPTCY
SECURITY DESCRIPTION: EMPLOYEES RET SYS GOVT C/W PUE

CUSIP#: 29216MBP4
ACCOUNT#: 2793
QUANTITY: 210,000

*****AUTO**MIXED ADC 117
NFS/FMTC ROLLOVER IRA
FBO LARRY L WHEELER
6566 S TYKO CT
PERU IN 46970

Dear Client,

We have been requested to forward you the enclosed material. To participate you must complete the notice of participation and return to The Clerk of the United States District Court for the district of Puerto Rico at the return address provided in the materials.

If you have any questions, please contact your financial advisor.

JOB NUMBER: E15810 808

CONTROL#: 0241956535750555

000003102

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131



DO NOT MAIL



*****AUTO**MIXED ADC 117
NFS/FMTC ROLLOVER IRA
FBO LARRY L WHEELER
6566 S TYKO CT
PERU IN 46970

10/11/19

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131

A BANKRUPTCY

SECURITY DESCRIPTION: EMPLOYEES RET SYS GOVT C/W PUE

CUSIP#: 29216MBP4

ACCOUNT#: 2858

QUANTITY: 120,000

*****AUTO**MIXED ADC 117

NFS/FMTC ROTH IRA
FBO LARRY L WHEELER
6566 S TYKO CT
PERU IN 46970

Dear Client,

We have been requested to forward you the enclosed material. To participate you must complete the notice of participation and return to The Clerk of the United States District Court for the district of Puerto Rico at the return address provided in the materials.

If you have any questions, please contact your financial advisor.

JOB NUMBER: E15810 808

CONTROL#: 1933462718645141

000003105

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.
ELMHURST, IL 60126-1131



DO NOT MAIL



*****AUTO**MIXED ADC 117

NFS/FMTC ROTH IRA
FBO LARRY L WHEELER
6566 S TYKO CT
PERU IN 46970



Larry L. Wheeler
6566 South Tyko Court
Peru, IN 46970-8789

RETURN RECEIPT
REQUESTED

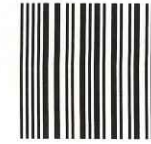
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00918

U.S. POSTAGE PAID
FCM LETTER
KOKOMO, IN
46902
NOV 05, 19
AMOUNT

\$7.00

R2305M146578-15

The Clerk of the United States District Court for the District of Puerto Rico
Room 150 Federal Building
150 Carlos Chardon Avenue
San Juan, PR 00918-1767

RECEIVED & FILED
U.S. DISTRICT COURT
SAN JUAN, P.R.

2019 NOV 12 PM 2:35

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.¹

X

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X

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE
GOVERNMENT OF THE COMMONWEALTH OF
PUERTO RICO,

Debtor.

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:

X

PROMESA

Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

PROMESA

Title III

Case No. 17-BK-3566 (LTS)

RECEIVED & FILED
2019 NOV 12 PM 2:35
CLERK'S OFFICE
U.S. DISTRICT COURT
SAN JUAN, P.R.

NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Counsel Contact Information (if any)

DOMINGO A GONZALEZ QJEDA

Participant Name

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

Contact Person

DOMGON 787@AOL.COM

Email Address

Email Address

ROAD 528 KM 0.2

Address line 1

Address line 1

P.O. BOX 231

Address line 2

Address line 2

JAYUYA 00664

City, State Zip Code

City, State Zip Code

PUERTO RICO

Country

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

_____ intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

X intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

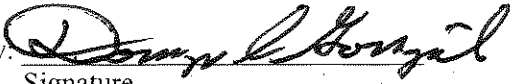
THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: 
Signature

DOMINGO A GONZALEZ
Print Name

Title (if Participant is not an Individual)

11-08-2019

Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

DOMINGO A GONZALEZ
PO BOX 231
JAYUYA PR 00664



1000



00918

U.S. POSTAGE PAID
FCM LETTER
JAYUYA, PR
00664
NOV 08, 19
AMOUNT

\$6.85

R2305K131161-04



Barn Swallow

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS. FOLD AT DOTTED LINE

CERTIFIED MAIL®



7018 0680 0000 0890 8T02

TH CLERK OF THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO
ROOM 150 FEDERAL BUILDING
150 CARLOS CHARDON AVENUE
SAN JUAN PR 00918-1767

2019 NOV 12 PM 2:35
CLERK'S OFFICE
DISTRICT COURT

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3566 (LTS)
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
Debtor. :
----- X

**NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS**

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

HADA LIVIA R. DE CORÓN
Participant Name

Contact Person (if Participant is not an individual)

FICOROD46 GMAIL.COM
Email Address (FICOROD46GMAIL.COM)

MANSIODES DE ALEJANDRINO
Address line 1

16 CALLE PRINCIPAL
Address line 2

GUAYNABO, P.R. 00969
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

X intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MBP 4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Hada Livia R. de Colon

Signature

HADA LIVIA R. de Colon

Print Name

November 7, 2019
Title (if Participant is not an Individual)

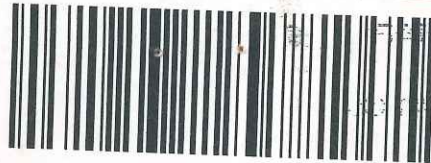
Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Dr. Filiberto Colon *+dra.*
Mans De Alejandrino
16 Calle Principal
Guaynabo, PR 00969-5100

CERTIFIED MAIL®



7018 3090 0001 7911 0458



1000



00918

U.S. POSTAGE PAID
FCM LETTER
SAN JUAN, PR
00918
NOV 08, 19
AMOUNT

\$7.00
R2304H108674-2

RECEIVED & FILED

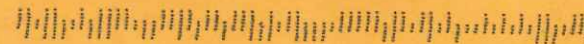
2019 NOV 12 PM 2:30

CLERK'S OFFICE
U.S. DISTRICT COURT
SAN JUAN, PR

The Clerk of the United State District
Court for the District of Puerto Rico
Room 150 Federal Building
150 Carlos Chardon Ave.

San Juan PR 00918-1767

009181703 0018



THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

----- X
:
In re: :
:
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
:
as representative of : Case No. 17-BK-3283 (LTS)
:
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
:
Debtors.¹ :
----- X

----- X
:
In re: :
:
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
:
as representative of : Case No. 17-BK-3566 (LTS)
:
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
:
Debtor. :
----- X

**NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS**

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

HADA L. RODRIGUEZ DE COLON

Participant Name

Contact Person (if Participant is not an individual)

FLOROD4@GMAIL.COM

Email Address

MARQUES DE ALEJANDRINO

Address line 1

16 CALLE PRINCIPAL

Address line 2

GUAYAMA, P.R. 00969-5100

City, State Zip Code

U.S.A.

Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

_____ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge:

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MBN9

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Hada L. Rodríguez de Colón
Signature

HADA L. RODRÍGUEZ DE COLÓN
Print Name

Title (if Participant is not an Individual)

7 de noviembre de 2019
Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Dr. Filiberto Colon *+ Dra.*
Mans De Alejandrino
16 Calle Principal
Guaynabo, PR 00969-5100

CERTIFIED MAIL®



7018 3090 0001 7911 0458



1000



00918

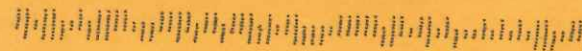
U.S. POSTAGE PAID
FCM LETTER
SAN JUAN, PR
00918
NOV 08, 19
AMOUNT

\$7.00
R2304H108674-2

RECEIVED & FILED
2019 NOV 12 PM 2:30
CLERK'S OFFICE
DISTRICT COURT
SAN JUAN, P.R.

The Clerk of the United State District
Court for the District of Puerto Rico
Room 150 Federal Building
150 Carlos Chardon Ave.
San Juan PR 00918-1767

0091831703 C018



	-----X
In re:	:
	:
	:
THE FINANCIAL OVERSIGHT AND	: PROMESA
MANAGEMENT BOARD FOR PUERTO RICO,	: Title III
	:
as representative of	: Case No. 17-BK-3283 (LTS)
	:
THE COMMONWEALTH OF PUERTO RICO <i>et al.</i> ,	: (Jointly Administered)
	:
Debtors. ¹	:

[illegible]

The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

HADA L. RODRIGUEZ DE GLOD
Participant Name

Contact Person (if Participant is not an individual)

FLORON 4@GMAIL.COM
Email Address

MANSILLOS DE ALEJANDRINO
Address line 1

16 CALLE PRINCIPAL
Address line 2

GUAYNABO, PR 00969-5100
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

_____ intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

X intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MAC4

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Hada L. Rodriguez de Colon
Signature

HADA L. Rodriguez de Colon
Print Name

Title (if Participant is not an Individual)

7 de noviembre de 2019
Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Dr. Filiberto Colon *+dra.*
Mans De Alejandrino
16 Calle Principal
Guaynabo, PR 00969-5100

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1000



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NOV 08, 19
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\$7.00

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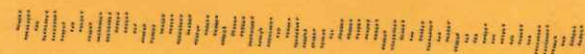
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2019 NOV 12 PM 2:30

CLERK'S OFFICE
U.S. DISTRICT COURT
SAN JUAN, PR

The Clerk of the United State District
Court for the District of Puerto Rico
Room 150 Federal Building
150 Carlos Chardon Ave.
San Juan PR 00918-1767

0091831703 0018



THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3566 (LTS)
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
Debtor. :
----- X

NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Counsel Contact Information (if any)

DR. FILIBERTO COLÓN RODRIGUEZ

Participant Name

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

Contact Person

FICOR004@GMAIL.COM

Email Address

Email Address

MAUSIONES DE ALEJANDRINO

Address line 1

Address line 1

16 CALLE PRINCIPAL

Address line 2

Address line 2

GUAYABO, P.R. 00969

City, State Zip Code

City, State Zip Code

U.S.A.

Country

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

_____ intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

X intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By

Signature

Print Name

Title (if Participant is not an Individual)

Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Dr. Filiberto Colon *+dra.*
Mans De Alejandrino
16 Calle Principal
Guaynabo, PR 00969-5100

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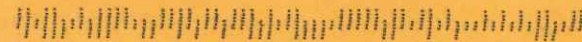
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2019 NOV 12 PM 2:30
CLERK'S OFFICE
DISTRICT COURT
SAN JUAN, P.R.

The Clerk of the United State District
Court for the District of Puerto Rico
Room 150 Federal Building
150 Carlos Chardon Ave.
San Juan PR 00918-1767

0091831703 C018



THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

----- X
:
In re: :
:
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
:
as representative of : Case No. 17-BK-3283 (LTS)
:
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
:
Debtors.¹ :
----- X

----- X
:
In re: :
:
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
:
as representative of : Case No. 17-BK-3566 (LTS)
:
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
:
Debtor. :
----- X

**NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS**

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

JAMES R. POLIFKA

Participant Name

Contact Person (if Participant is not an individual)

polifkaj@prtc.net

Email Address

PO BOX 1111

Address line 1

Address line 2

SABANA GRANDE PR 00637-1111

City, State Zip Code

USA

Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

_____ intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

X intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MAC 4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: James R. Polifka
Signature

JAMES R. POLIFKA
Print Name

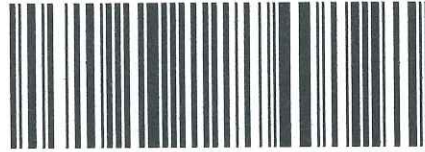
Title (if Participant is not an Individual)

7 NOVEMBER 2019
Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

James R. Polifka
PO Box 1111
Sabana Grande, PR 00637-1111

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CLERK'S OFFICE
U.S. DISTRICT COURT
SAN JUAN, PR

The Clerk of the United States District
Court for the District of Puerto Rico
Room 150 Federal Building
150 Carlos Chardon Avenue
San Juan, PR 00918-1767

00918-1767 00637



THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3566 (LTS)
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
Debtor. :
----- X

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CLERK'S OFFICE
U.S. DISTRICT COURT
SAN JUAN, P.R.

**NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS**

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Counsel Contact Information (if any)

MIRTA OCEGUERA

Participant Name

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

Contact Person

OCEGUERA MIRTA @ YAHOO.COM

Email Address

Email Address

P.O. Box 210

Address line 1

Address line 1

Address line 2

Address line 2

GUAYAMA, P.R. 00778

City, State Zip Code

City, State Zip Code

Country

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

 intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

X intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

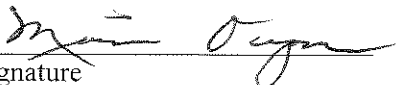
THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

COSIP # 29216 M BP4 \$25,000 FACE VALUE

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or **NO** (please circle one).

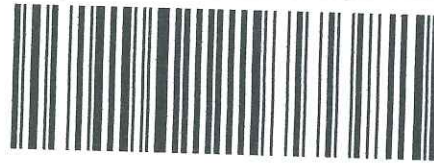
By: 
Signature

MIRTA OCASIO
Print Name

Title (if Participant is not an Individual)

NOV. 8, 2019
Date

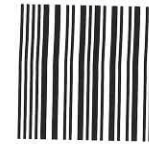
Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



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FCM LETTER
SAN JUAN, PR
00936
NOV 08, 19
AMOUNT

\$6.85

R2304E105433-43

THE CLERK OF THE UNITED STATES DISTRICT COURT
FOR DISTRICT COURT OF Puerto Rico
Room 150 FEDERAL Building
150 CARLOS CHARDON AVENUE
SAN JUAN, P.R. 00918-1767

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2019 NOV 12 PM 2:36
OFFICE
DISTRICT COURT
SAN JUAN, P.R.

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

----- X
:
In re: :
:
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
:
as representative of : Case No. 17-BK-3283 (LTS)
:
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
:
Debtors.¹ :
----- X

----- X
:
In re: :
:
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
:
as representative of : Case No. 17-BK-3566 (LTS)
:
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
:
Debtor. :
----- X

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2019 NOV 12 PM 2:36
CLERK'S OFFICE
U.S. DISTRICT COURT
SAN JUAN, PR

**NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS**

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Carmen M. Segarra
Participant Name
as above
Contact Person (if Participant is not an individual)
carmensega@gmail.com
Email Address
4361 E Seneca Ave
Address line 1

Address line 2

City, State Zip Code
Weston, Florida 33332
Country
USA

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

☐ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

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(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

(b) Did Participant purchase any of its ERS Bonds in whole ~~or in part on the secondary market?~~ **YES** or **NO** (please circle one).

By: C M Segarra
Signature

Carmen M. Segarra
Print Name

Title (if Participant is not an Individual)

11/7/19
Date

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*The Clerk of the United States
District of Puerto Rico
Room 150 Federal Building
150 Carlos Chardon Ave.
San Juan, P.R. 00918-1767*

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3566 (LTS)
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
Debtor. :
----- X

NOTICE OF PARTICIPATION IN LITIGATION
OF OBJECTIONS TO ERS BOND CLAIMS

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Counsel Contact Information (if any)

Participant Name

Carmen M. Segarra

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

Carmensega@gmail.com

Contact Person

Email Address

4361 E. Seneca Ave.

Email Address

Address line 1

Address line 1

Address line 2

Address line 2

City, State Zip Code

Weston, Florida 33332

City, State Zip Code

Country

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

☐ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

(b) Did Participant purchase any of its ERS Bonds in whole ~~or in part on the secondary~~ market? YES or NO (please circle one).

By: C. M. Segarra
Signature

Carmen M. Segarra
Print Name

Title (if Participant is not an Individual)

11/7/2019
Date

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FOR THE DISTRICT OF PUERTO RICO**

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MANAGEMENT BOARD FOR PUERTO RICO, : Title III
:
as representative of : Case No. 17-BK-3283 (LTS)
:
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
:
Debtors.¹ :
----- X

----- X
:
In re: :
:
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
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as representative of : Case No. 17-BK-3566 (LTS)
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THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
:
Debtor. :
----- X

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Carmen M. Segarra
Participant Name

same as above
Contact Person (if Participant is not an individual)

carmenseg@ gmail. com
Email Address

4361 E. Seneca Ave.
Address line 1

Address line 2

City, State Zip Code

Weston, Florida 33332
Country USA

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

☐ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

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By

Signature

Print Name

Title (if Participant is not an Individual)

Date

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Debtors.¹ :
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MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3566 (LTS)
THE EMPLOYEES RETIREMENT SYSTEM OF THE :
GOVERNMENT OF THE COMMONWEALTH OF :
PUERTO RICO, :
Debtor. :
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Participant Name and Contact Information

Orlando Segura Jr.
Participant Name
as above

Contact Person (if Participant is not an individual)
SEGURA 3044441111

Email Address
4361 E. Avenue One
Address line 1

Address line 2

City, State Zip Code
West. Fla 33332
Country USA

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code
Weston, Florida 33332
Country

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By:

Signature

Print Name

Title (if Participant is not an Individual)

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